

DEC 12 2002

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.  
70551-49570

In Re Application Of: Yukako Nii

Serial No.  
09/499,401

Filing Date  
Feb. 7, 2000

Examiner  
T. Vu

Group Art Unit  
2174

Invention: INFORMATION PROCESSING APPARATUS AND METHOD WITH GRAPHICAL  
USER INTERFACE ALLOWING PROCESSING CONDITION TO BE SET BY DRAG AND DROP,  
AND MEDIUM ON WHICH PROCESSING PROGRAM THEREOF IS RECORDED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

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Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: November 25, 2002

Howard M. Gitten  
Reg. No. 32,138  
EDWARDS & ANGELL, LLP  
350 East Las Olas Blvd., Suite 1150  
Ft. Lauderdale, FL 33301

12/04/2002 WABDELRI 00000098 09499401

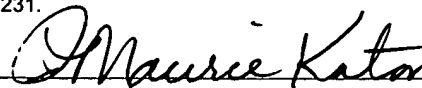
01 FC:1806

180.00 OP

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Assistant Commissioner for Patents, Washington, D.C.  
20231.



Signature of Person Mailing Correspondence

P. Maurie Katon

Typed or Printed Name of Person Mailing Correspondence

GP/2174\$

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(d))

Docket No.  
70551-49570

In Re Application Of: **Yukako Nii**

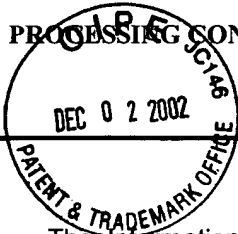
Serial No.  
09/499,401

Filing Date  
Feb. 7, 2000

Examiner  
T. Vu

Group Art Unit  
2174

Title: **INFORMATION PROCESSING APPARATUS AND METHOD WITH GRAPHICAL USER ALLOWING PROCESSING CONDITION TO BE SET BY DRAG AND DROP, AND MEDIUM ON WHICH PROCESSING**



Address to:

**Assistant Commissioner for Patents**

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

**RECEIVED**

**DEC 05 2002**

☒ A check in the amount of **\$180.00** is attached.

☐ Charge Deposit Account No.

in the amount of

**Technology Center 2100**

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Signature of Person Mailing Correspondence	
P. Maurie Katon	
Typed or Printed Name of Person Mailing Certificate	

\*This certificate may only be used if paying by deposit account.

Signature

Dated: November 25, 2002

**Howard M. Gitten**

Reg. No. 32,138

**EDWARDS & ANGELL, LLP**

350 East Las Olas Boulevard, Suite 1150

Ft. Lauderdale, FL 33301

CC:



# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00

## Complete if Known

Application Number 09/499,401  
Filing Date Feb. 7, 2000  
First Named Inventor Yukako Nii  
Examiner Name T. Vu  
Group Art Unit 2174  
Attorney Docket No. 70551-49570

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number 04-1105

Deposit Account Name Edwards & Angell, LLP.

The Commissioner is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity		
Fee Code	Fee Code	Fee Description	Fee Paid
1001 740	2001 370	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 510	2003 255	Plant filing fee	
1004 740	2004 370	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$)	0.00

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20\*\* =  x  =   
Independent Claims  -3\*\* =  x  =   
Multiple Dependent  =

Large Entity	Small Entity		
Fee Code	Fee Code	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 .84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)	0.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity			Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)		
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet.	
1053 130	1053 130			Non-English specification	
1812 2,520	1812 2,520			For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 400	2252 200			Extension for reply within second month	
1253 920	2253 460			Extension for reply within third month	
1254 1,440	2254 720			Extension for reply within fourth month	
1255 1,960	2255 980			Extension for reply within fifth month	
1401 320	2401 160			Notice of Appeal	
1402 320	2402 160			Filing a brief in support of an appeal	
1403 280	2403 140			Request for oral hearing	
1451 1,510	1451 1,510			Petition to institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,280	2453 640			Petition to revive - unintentional	
1501 1,280	2501 640			Utility issue fee (or reissue)	
1502 460	2502 230			Design issue fee	
1503 620	2503 310			Plant issue fee	
1460 130	1460 130			Petitions to the Commissioner	
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180			Submission of Information Disclosure Stmt	180.00
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	
1809 740	2809 370			Filing a submission after final rejection (37 CFR 1.129(a))	
1810 740	2810 370			For each additional invention to be examined (37 CFR 1.129(b))	
1801 740	2801 370			Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00 180.00

### SUBMITTED BY

Name (Print/Type) Howard M. Gitten

Registration No. 32,138  
(Attorney/Agent)

### Complete (if applicable)

Telephone 954-727-2600

Signature

*Howard M. Gitten*

Date

November 25, 2002

### Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: November 25, 2002 Signature: *P. Maurie Katon* (P. Maurie Katon)